

JUN 3 0 2006

PATENTS GS-80 Cont.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Wing P. Leung et al.

Application No.: 10/033,532 Confirmation No.: 7831

Filed : October 16, 2001

FOR : APPARATUS AND METHOD FOR PARENTAL CONTROL

USING V-CHIP PLUS+ AND MASTER PASSWORD

Art Unit : 2617

Examiner : Annan Q. Shang

Mail Stop AMENDMENT Commissioner for Patents

P.O. Box 1450

New York, New York 10020

Alexandria, Virginia 22313-1450 June 28, 2006

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- Form PTO/SB/21 Transmittal;
- Form PTO/SB/17 Fee Transmittal (in duplicate);
- Supplemental Information Disclosure Statement;
- Form PTO/SB/08 (in duplicate); and
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Approved for use through 07/31/2006. OMB 0651-0031

10/033,532 (Conf. No. 7831)

October 16, 2001

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Application Number Filing Date

| FORM (to be used or all correspondence after initial filing) | | | | /ing P. Leung | | | |
|---|---|--|----------|--|--|--|--|
| | | Art Unit | | 2617 | | | |
| | ing) | Examiner Name | An | nan Q. Shang | | | |
| oer of Pages in This Submission | 7 | Attorney Docket Number | GS | S-80 Cont. | | | |
| | | | | | | | |
| ENCLOSURES (Check all that apply) | | | | | | | |
| Fee Transmittal Form | | rawing(s) | | After Allowance Communication to TC | | | |
| Fee Attached | Li | censing-related Papers | | Appeal Communication to Board of Appeals and Interferences | | | |
| Amendment/Reply | ☐ P | etition | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | | | |
| After Final | | etition to Convert to a rovisional Application | | Proprietary Information | | | |
| Affidavits/declaration(s) | | ower of Attorney, Revocation hange of Correspondence Addre | <u> </u> | Status Letter | | | |
| Extension of Time Request | | erminal Disclaimer | " [| Other Enclosure(s) (please Identify below): | | | |
| Express Abandonment Request | Request for Refund CD, Number of CD(s) | | | | | | |
| Information Disclosure Statement | | | | | | | |
| Certified Copy of Priority | | Landscape Table on CD | | | | | |
| Document(s) Response to Missing Parts/ | Remar | rks | | | | | |
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| under 37 CFR 1.52 or 1.53 | | | | İ | | | |
| SIGNATU | JRE OF | APPLICANT, ATTORNEY | . OR A | GENT | | | |
| Firm Name Customer No. 1473 | | ,, | , | | | | |
| | | | | | | | |
| Signature Jalling San | | | | | | | |
| Printed name Chia-Hao La | | | | | | | |
| Date June 28, 2006 | | | Reg. No. | 57,729 | | | |
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

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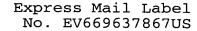
| Effective on 12/08/2004. | Complete If Known | | |
|--|----------------------|------------------|--------------|
| Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818) | Application Number | 10/033,532 | - |
| TRANSMITTAL | Filing Date | October 16, 2001 | |
| for FY 2005 | First Named Inventor | Wing P. Leung | |
| 101112000 | Examiner Name | Annan Q. Shang | |
| ent claims small entity status. See 37 CFR 1.27 | Art Unit | 2617 | |
| TOTAL AMOUNT OF PAYMENT (\$)180.00 | Attorney Docket No. | GS-80 Cont. | |

| METHOD OF PAYMENT (check all that apply) | | | | | | | |
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| Deposit Account | Deposit Accoun | t Number: <u>06-1075</u> I | Deposit Accou | nt Name: Fish & Nea | ave | | |
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| information and authorization | n on P1O-2038 | · · · · · · · · · · · · · · · · · · · | | | - | | - |
| 1. BASIC FILING, SEA | RCH. AND | EXAMINATION | FEES | | | | |
| , | FILING I | | SEARCH | I FEES | EXAMINA | ATION FEES | |
| | 9 | Small Entity | | Small Entity | | Small Entity | |
| Application Type | Fee (\$) | Fee (\$) | Fee (\$) | <u>Fee (\$)</u> | Fee (\$) | Fee (\$) | Fees Paid (\$) |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 - | |
| 2 EVCESS CLAIM FEES | | | | | | mall Entity | |
| Fee Description | | | | | | Fee (\$) | Fee (\$) |
| | | | | | | 25 | |
| Each independent claim over 3 (including Reissues) 200 100 | | | | | | 100 | |
| Multiple dependent clair Total Claims | | | | | | 360 | 180 |
| - 20 or HP | Extra Cla | <u>ims</u> <u>Fee (\$</u> × 50.00 |) <u>Fees F</u> = | Paid (\$) | | Multiple Depe Fee (\$) | ndent Claims Fee Paid (\$) |
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| 3. APPLICATION SIZE | | | | | | | |
| If the specification : | and drawing | s exceed 100 sh | eets of pape | er (excluding elec | ctronically fil | ed sequence or | computer |
| shoots on fraction the | listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 | | | | | | |
| sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). <u>Total Sheets</u> | | | | | | | |
| - 100 = 0 | | /50= O | | d up to a whole nur | | 250.00 = | · 0 |
| 4. OTHER FEE(S) | | | _ | | | | Fee Paid (\$) |
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| SUBMITTED BY | | | |
|----------------|----------------------------|--|------------------------|
| Signature | fa Chiefar | Registration No. 57,729 (Attorney/Agent) | Telephone 212-596-9000 |
| Name (Print/Ty | ^{pe)} Chia-Hao La | | Date June 28, 2006 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENTS GS-80 Cont.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Wing P. Leung et al.

Application No.: 10/033,532 Confirmation No.: 7831

Filed : October 16, 2001

For : APPARATUS AND METHOD FOR PARENTAL

CONTROL USING V-CHIP PLUS+ AND MASTER

PASSWORD

Art Unit : 2617

Examiner : Annan Q. Shang

New York, New York 10020 June 28, 2006

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following references of record in the above-identified patent application:

U.S. Patent Documents

5,589,892

12-31-1996

Knee et al.

The aforementioned patent is listed on the accompanying Form PTO/SB/08 (submitted in duplicate).

Pursuant to 37 C.F.R. § 1.98(a)(2), no copies of the U.S. patent accompany this Supplemental Information Disclosure Statement.

07/05/2006 HDESTA1 00000094 061075 10033532 01 FC:1806 180.00 DA It is respectfully requested that the above patent be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

This Supplemental Information Disclosure Statement is being submitted after the mailing of a first Office Action on the merits but before the mailing of a Final Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the application. In accordance with 37 C.F.R. § 1.97(c)(2), the Director is hereby authorized to charge \$180.00 in payment of the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 06-1075. A Form PTO/SB/17 Fee Transmittal is included herewith for that purpose.

Respectfully submitted,

Chia-Hao La

Reg. No. 57,729

Agent for Applicants

Fish & Neave IP Group

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Complete if known Substitute for form 1449/PTO **Application Number** 10/033,532 INFORMATION DISCLOSURE Filing Date October 16, 2001 **First Named Inventor** STATEMENT BY APPLICANT Wing P. Leung Art Unit 2617 **Examiner Name** Annan Q. Shang (use as many sheets as necessary) Sheet of Attorney Docket Number GS-80 Cont.

| | U.S. PATENT DOCUMENTS | | | | |
|-----------|-----------------------|--|------------------|------------------------------|---|
| Examiner | Cite | Document Number | Publication Date | Name of Patentee or | Pages, Columns, Lines, Where Relevant Passages |
| initiats* | No.¹ | Number – Kind Code ² (if known) | MM-DD-YYYY | Applicant of Cited Documents | or Relevant Figures Appear |
| | | 5,589,892 | 12-31-1996 | Knee et al. | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
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| | | Foreign Patent Document | | | Pages, Columns, Lines, Where | |
| Examiner initials | Cite No. ¹ | Country Code 1 - Number 2 - Kind Code 3 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents | Relevant Passages or Relevant Figures Appear | Τ ⁶ |
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| | | NON PATENT LITERATURE DOCUMENTS | |
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| Examiner initials | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ⁶ |
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| Examiner | Date | | _ |
|-----------|------------|-------|---|
| Signature | Considered | | |
| | | _ | _ |

All References Have Been Considered:

Examiner

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language translation is attached.